

CourtCorrect Compliance Policy

Proactively Ensuring Compliance to Enable Access to Justice for Millions

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This document sets out how CourtCorrect ensures compliance with all applicable laws and regulations in the United Kingdom.

We believe that ensuring and demonstrating full compliance with the rules that govern the work we do is the basis for a professional and effective service.

This is why CourtCorrect has always taken a proactive approach to compliance by i) identifying relevant legislation and setting out our compliance process transparently for everyone to access online, ii) seeking direct interactions with regulatory bodies like the Ministry of Justice, the Information Commissioner's Office and the Financial Conduct Authority and iii) training our employees and partners to follow our internal process exactly.

If you have any questions or concerns, please do not hesitate to email us at hello@courtcorrect.com or call +44 207 867 3925.

Who We Are And What We Do

CourtCorrect is a technology company headquartered in London. We are privately owned and investor-backed.

Our team consists of UK and foreign-qualified lawyers, financial professionals, legal academics, paralegals, software developers, designers, marketing experts and sales professionals.

We help individuals and businesses understand and enforce their rights through our technology products. We do not provide legal services.

The majority of the work we carry out is handled directly by our technology products. All of our technology products have been designed directly or under the supervision of a qualified lawyer. Occasionally, one of our employees will be involved in carrying out our work. Any work carried out by one of our employees is carried out directly by a qualified lawyer or occurs under the supervision and at the direction of a qualified lawyer.

To date, CourtCorrect has delivered more than 2 million pieces of legal information and has helped thousands of people access their rights, many of whom never exercised their rights prior to joining CourtCorrect.



How We are Regulated

And How we Ensure Compliance

CourtCorrect helps individuals and businesses with a huge variety of legal questions, each of which is different. As mentioned above, we are a technology company and the majority of the work we carry out is performed by software designed by or under the supervision of a qualified lawyer. When one of our employees is occasionally involved in any of the work that we do, we ensure that this employee is either a qualified lawyer or works under the supervision of a qualified lawyer.

CourtCorrect is therefore not a claims management company and does not provide controlled claims management activities within the meaning of The Financial Services and Markets Act 2000 (Claims Management Activity) Order 2018.

In any case, CourtCorrect is entitled to exemption from regulation under Part 6A 73B (1) and Part 6A 73H (1-2) of The Financial Services and Markets Act 2000 (Claims Management Activity) Order 2018, as any person working on any case or communicating relevant information externally is either themselves a qualified lawyer or is working under the supervision and direction of a qualified lawyer. Additionally, some of our cases pertaining to higher education are supervised and directed directly via a student union.

Further, many of the cases we help people and businesses with fall outside of the closed list specified in Part 1A 11A (2) (a) (i-vi) of the 2018 Order and are hence excluded from regulation entirely.

CourtCorrect also does not engage in any reserved legal activities within the meaning of section 12 (1) (a-f) of the Legal Services Act 2007.

As noted by the [Legal Services Board](#), the provision of legal advice or assistance in connection with the application of the law or with any form of resolution of legal disputes and the provision of representation in connection with any matter concerning the application of the law or any form of resolution of legal disputes, explicitly falls outside of the Legal Services Act 2007 and CourtCorrect is hence not subject to that Act to the extent that it engages in these activities, if at all.

All qualified lawyers we employ are regulated directly by their relevant local regulatory bodies, which includes the Solicitors Regulation Authority (England and Wales), The Bar Standards Board (England and Wales), the Swiss Bar Association (Switzerland), the State Bar of Wisconsin (Wisconsin) and others.

All law firm, insurance or financial firm partners we cooperate with are equally regulated directly and we ensure that all of our partners are fully compliant before we engage in a partnership with them.

As an innovative business, we also seek frequent alignment with the relevant regulatory bodies. We are in direct communication with the Ministry of Justice of the United Kingdom, the Information Commissioner's Office and are considering filing an application with the Innovate program at the Financial Conduct Authority via the Direct Support Team as we believe CourtCorrect enables clear consumer benefit in line with the FCA's promotion of services which help improve the speed or efficiency in the assessment and resolution of



insurance problems and helps individuals or companies to access cash, charitable donations, government aid or other financial help (<https://www.fca.org.uk/firms/innovation/direct-support>).

Changes to this Policy

And How These Will be Communicated

We reserve the right to make changes to this policy as our business develops. We will continue to engage directly with the relevant regulatory bodies to notify them of any changes.

If you have any questions or concerns, please do not hesitate to email us at hello@courtcorrect.com or to call +44 207 867 3925.

In London on Thursday, the 16th of September 2021

For and on behalf of the Board of Directors of CourtCorrect Ltd



Ludwig Bull, Director

